

**DEPARTMENT OF TRANSPORTATION**

DIVISION OF ENGINEERING SERVICES

OFFICE ENGINEER

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www.dot.ca.gov/hq/esc/oe



*Serious Drought.  
Help save water!*

July 2, 2014

11-SD-805-9.5/10.3

11-2T2504

Project ID 1112000216

Addendum No. 3

Dear Contractor:

This addendum is being issued to the contract for CONSTRUCTION ON STATE HIGHWAY IN SAN DIEGO COUNTY IN AND NEAR NATIONAL CITY FROM PROSPECT AVENUE OVERCROSSING TO PLAZA BOULEVARD UNDERCROSSING.

Submit bids for this work with the understanding and full consideration of this addendum. The revisions declared in this addendum are an essential part of the contract.

Bids for this work will be opened on Thursday, July 24, 2014, instead of the original date of Thursday, July 17, 2014.

This addendum is being issued to set a new bid opening date as shown herein and revise the *Notice to Bidders* and *Special Provisions*, the *Bid* book and the *Information Handout*.

In the Special Provisions, Section 2-1.06B is replaced as attached.

In the Special Provisions, Section 2-1.10 is added as follows:

**"Replace section 2-1.10 of the RSS for Section 2 with:**

**2-1.10 SUBCONTRACTOR LIST**

On the Subcontractor List form, list each subcontractor to perform work in an amount in excess of 1/2 of 1 percent of the total bid or \$10,000, whichever is greater (Pub Cont Code § 4100 et seq.).

For each subcontractor listed, the Subcontractor List form must show:

1. Business name and the location of its place of business.
2. For a non-federal-aid contract, its California contractor license number.
3. Portion of work it will perform. Show the portion of the work by:
  - 3.1. Description of portion of subcontracted work
  - 3.2. Bid item numbers for the work involved in the portion of work listed
  - 3.3. Percentage of the portion of work in each bid item listed"

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11-SD-805-9.5/10.3  
11-2T2504  
Project ID 1112000216

In the Special Provisions, Section 2-1.33, is added as attached.

In the *Bid* book, page 11, the "SUBCONTRACTOR LIST" is replaced as attached.

The Information Handout, "SAMPLE LOCATION MAP-Aerially Deposited Lead Survey-PLATE 7 and 8" are added as attached.

To *Bid* book holders:

Inquiries or questions in regard to this addendum must be communicated as a bidder inquiry and must be made as noted in the *Notice to Bidders* section of the *Notice to Bidders and Special Provisions*.

Indicate receipt of this addendum by filling in the number of this addendum in the space provided on the signature page of the *Bid* book.

Submit bids in the *Bid* book you now possess. Holders who have already mailed their book will be contacted to arrange for the return of their book.

Inform subcontractors and suppliers as necessary.

This addendum and attachments are available for the Contractors' download on the Web site:

**[http://www.dot.ca.gov/hq/esc/oe/project\\_ads\\_addenda/11/11-2T2504](http://www.dot.ca.gov/hq/esc/oe/project_ads_addenda/11/11-2T2504)**

If you are not a *Bid* book holder, but request a book to bid on this project, you must comply with the requirements of this letter before submitting your bid.

Sincerely,



 LAURIE BERMAN  
District Director

Attachments

**Add to section 2-1.06B:**

The Department makes the following supplemental project information available:

**Supplemental Project Information**

Means	Description
<i>Included in the Information Handout</i>	<ol style="list-style-type: none"><li>1. United States Fish And Wildlife Service - Formal Section 7 Consultation for the Interstate 805 South Managed Lanes Project, San Diego County, California, Dated April 19, 2011</li><li>2. Geotechnical Design Report for the Investigation of Soil Conditions Beneath the Outside Shoulders of Interstate 805 Between Grove Street and Plaza Boulevard, Dated December 12, 2013</li><li>3. Structural Section Recommendations, Dated April 3, 2013</li><li>4. SAMPLE LOCATION MAP - Aerially Deposited Lead Survey - PLATES 7 and 8</li><li>5. ELECTRONIC FILES</li></ol>
<i>Available as specified in the Standard Specifications</i>	<ol style="list-style-type: none"><li>1. State Water Resources Control Board (SWRCB) Permit No. 2012-0011-DWQ, Permit No. CAS000003, Dated July 1, 2013</li><li>2. SWRCB adopted Order No. 2012-0006-DWQ, NPDES Permit No. CAS000002, Dated July 17, 2012</li><li>3. Cross sections</li></ol>

Replace section 2-1.33 of the RSS for Section 2 with:

**2-1.33 BID DOCUMENT COMPLETION AND SUBMITTAL**

Complete forms in the *Bid* book.

For a paper bid, submit your bid:

1. Under sealed cover
2. Marked as a bid
3. Identifying the contract number and the bid opening date

For an electronic bid, complete and submit the *Bid* book under the *Electronic Bidding Guide* at the Bidders' Exchange website.

Submit the forms and form information at the times shown in the following table:

<b>Bid Form Submittal Schedule</b>				
Contract type	Forms to be submitted at the time of bid	Forms to be submitted no later than 24 hours after bid opening <sup>a</sup>	Forms to be submitted no later than 4 p.m. on the 2nd business day after bid opening <sup>a</sup>	Forms to be submitted no later than 4 p.m. on the 4th business day after bid opening <sup>a</sup>
All contracts	<ul style="list-style-type: none"> <li>• Bid to the Department of Transportation</li> <li>• Business name and location and description of portion of subcontracted work on the Subcontractor List</li> <li>• Opt Out of Payment Adjustments for Price Index Fluctuations<sup>c</sup></li> </ul>	<ul style="list-style-type: none"> <li>• Bid item nos. and percentage of bid item subcontracted on the Subcontractor List<sup>b</sup></li> </ul>	--	--
Non-federal-aid contracts only	<ul style="list-style-type: none"> <li>• California contractor license number on the Subcontractor List</li> <li>• California Company Preference</li> <li>• Request for Small Business Preference or Non-Small Business Preference<sup>c</sup></li> </ul>	--	<ul style="list-style-type: none"> <li>• Certified Small Business Listing for the Non-Small Business Preference<sup>c</sup></li> </ul>	<ul style="list-style-type: none"> <li>• Certified DVBE Summary<sup>d</sup></li> </ul>
Federal-aid contracts only	<ul style="list-style-type: none"> <li>• Small Business Status</li> </ul>	--	--	<ul style="list-style-type: none"> <li>• Caltrans Bidder - DBE - Commitment<sup>e</sup></li> <li>• Good Faith Efforts Documentation - DBE<sup>f</sup></li> </ul>

<sup>a</sup>The forms and information may be submitted at the time of bid.

<sup>b</sup>If the information is not submitted at the time of bid, fax it to (916) 227-6282. This after-bid submittal does not apply to an informal-bid contract. For an informal bid contract, submit the completed form at the time of bid.

<sup>c</sup>Applicable only if the preference or option is chosen.

<sup>d</sup>Not applicable to an informal-bid contract or a project with an estimated cost of more than \$5 million. For an informal bid contract, submit the completed form at the time of bid. For a project with an estimated cost of more than \$5 million, applicable only if you obtain DVBE participation or you are the apparent low bidder, 2nd low bidder, or 3rd low bidder and you choose to receive the specified incentive.

<sup>e</sup>If not submitted at the time of bid, applicable only to the apparent low bidder, 2nd low bidder, and 3rd low bidder.

<sup>f</sup>Applicable only if you have not met the DBE goal.

For an electronic bid:

1. Forms to be submitted at the time of bid must be submitted as described in the *Electronic Bidding Guide* or faxed to (916) 227-6282 before the bid opening date and time
2. Your authorized digital signature is your confirmation of and agreement to all certifications and statements contained in the *Bid* book.
3. On forms and certifications that you submit through the electronic bidding service, you agree that each form and certification where a signature is required is deemed as having your signature. On forms that you submit after bid opening, sign the forms where a signature is required in ink.

Failure to submit the forms and information as specified results in a nonresponsive bid.

If an agent other than the authorized corporation officer or a partnership member signs the bid, file a Power of Attorney with the Department either before opening bids or with the bid. Otherwise, the bid may be nonresponsive.

CONTRACT NO. 11-2T2504  
ADDED PER ADDENDUM NO. 3 DATED JULY 2, 2014

STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION  
**SUBCONTRACTOR LIST**  
 DES-OE-0102 2B (REV 5/2014)

Bidding Firm \_\_\_\_\_

Under Public Contract Code § 4100 et seq., the Bidder must set forth in the bid the name, the location of the place of business, the California contractor license number, and the portion of work of each subcontractor who will perform work or labor or render service to the prime contractor in or about the construction of the work or improvement, or a subcontractor licensed by the State of California who, under subcontract to the prime contractor, specially fabricates and installs a portion of the work or improvement according to detailed drawings contained in the plans and specifications, in an amount in excess of one-half of 1 percent of the prime contractor's total bid or ten thousand dollars (\$10,000), whichever is greater.

The Bidder must submit within 24 hours of the bid opening, the bid item numbers with percentages of the portion of work subcontracted

Business Name and Location	California Contractor License Number <sup>(a)</sup>	Description of Portion of Work	Bid Items Numbers	Percentage of Bid Item Subcontracted

<sup>a</sup> Not required on contracts with Federal-Aid.

**ADA Notice** For individuals with sensory disabilities, this document is available in alternate formats. For information, call (916) 654-6410, TTY 711, or write to Records and Forms Management, 1120 N Street, MS-89, Sacramento, CA 95814.